

10/562097

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Practitioner's Docket No. 2003DE430

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dirk LEINWEBER et al.

Examiner: n/a

Application No.:

Group No.:

Filed: 12-21-05

For:

ALKOXYLATED DENDRIMERS AND USE THEREOF AS  
BIODEGRADABLE DEMULSIFIERS

Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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Applicant: Dirk LEINWEBER et al,  
Serial No. \_\_\_\_\_  
Filed \_\_\_\_\_

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449 (PTO/SB/08a and/or PTO/SB/08b).
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☒ PCT or EP Search Report .PCT/EP2004/006651, Mailed 8/19/2004
  - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.
7. ☐ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,

  
Richard P. Silverman, Registration No. 36,277

CUSTOMER NO. 25,255

Clariant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205  
Telephone: 704/331-7156, Facsimile: 704/331-7707

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	10/562097
		Filing Date	
		First Named Inventor	Dirk LEINWEBER et al.
		Art Unit	
		Examiner Name	
(Use as many sheets as necessary)		Attorney Docket Number	2003DE430
Sheet	1	of	1

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- 4,321,146	03/23/1982	MCCOY	
	AB	US- 4,558,120	12/10/1985	TOMALIA	
	AC	US- 4,737,550	04/12/1998	TOMALIA	
	AD	US- 5,418,301	05/23/1995	HULT	
	AE	US- 5,445,765	08/29/1995	Elfers	
	AF	US- 5,759,406	06/02/1998	PHELPS	
	AG	US- 5,981,687	11/09/1999	BREEN	
	AH	US- 6,114,458	09/05/2000	HAWKER	
	AI	US- 20,050,131,205	06/16/2005	HAEGGMAN	
	AJ	US-			
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	AL	US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	BA	WO 03/062306	07/31/2003	PERSTOP	See AI Above	<input type="checkbox"/>
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